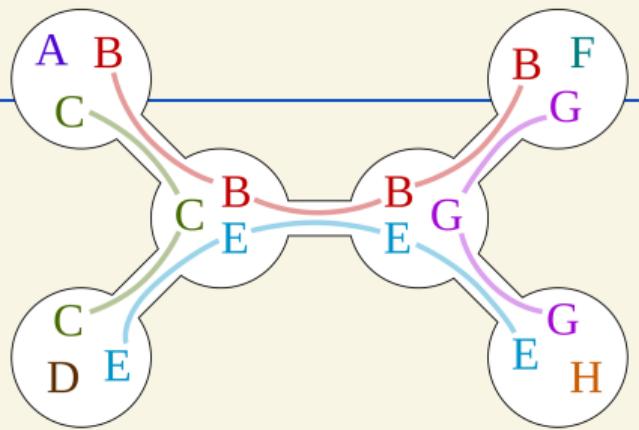


## Treewidth I: Pathwidth

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DM898: Parameterized Algorithms  
Lars Rohwedder



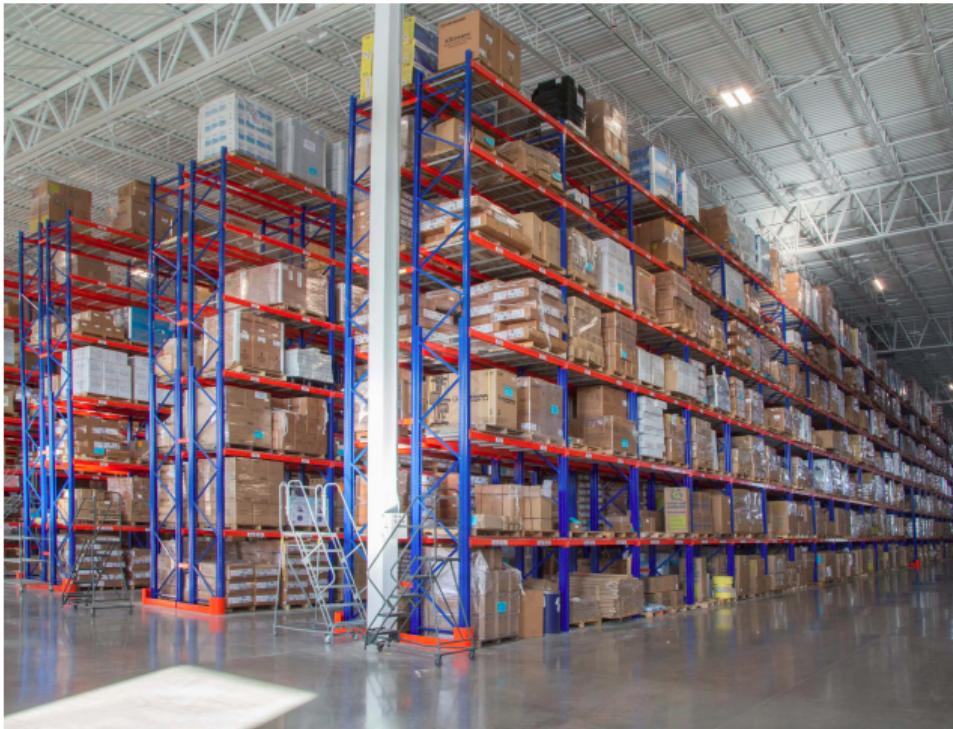
## Today's lecture

- Dynamic programming over paths
- Path decomposition
- Maximum Weight Independent Set
- Order Picking

## Motivating case

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## Order Picking



- **Setting:** picker makes a tour through a warehouse and picks up a given set of orders
- Most commonly modelled as a TSP problem where we minimize the length of the trip
- Important problem in Operations Research: Order Picking makes up **55%** of warehouse operational costs according to some estimates<sup>2</sup>

Source: <sup>1</sup>

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<sup>1</sup>: [rebstorage.com/articles-white-papers/how-to-choose-your-industrial-warehouse-racking/](http://rebstorage.com/articles-white-papers/how-to-choose-your-industrial-warehouse-racking/)

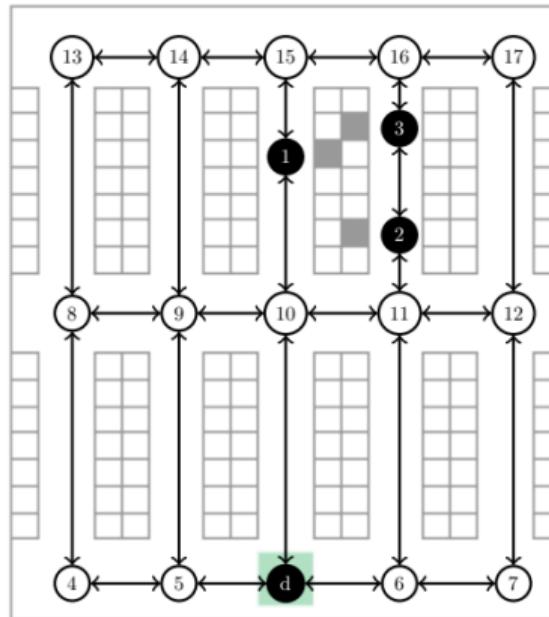
<sup>2</sup>: **Facilities planning. Tompkins, White, Bozer, Tanchoco. 2010.**

## Complexity of Order Picking

TSP is NP-hard, so is Order Picking hopeless to solve efficiently?

## Complexity of Order Picking

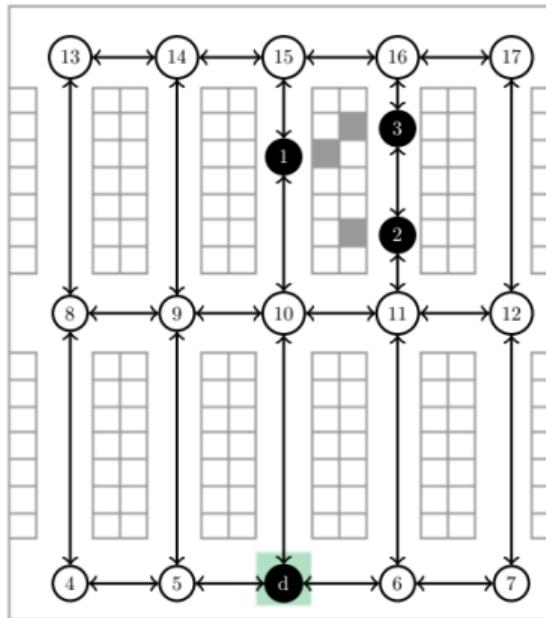
TSP is NP-hard, so is Order Picking hopeless to solve efficiently?



Source: <https://arxiv.org/abs/1703.00699>

## Complexity of Order Picking

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Warehouse graphs from Order Picking are highly structured. NP-hardness does not necessarily hold there. (We have not formalized this class of graphs yet.)

## Dynamic programming over paths

---

## Maximum Weight Independent Set

Many problems become (computationally) easy if restricted to paths. Example:

### Maximum Weight Independent Set

- Input: Graph  $G = (V, E)$ , weights  $w : V \rightarrow \mathbb{Z}_{\geq 0}$
- Output: Vertex set  $I \subseteq V$  with  $(u, v) \notin E$  for each  $u, v \in I$  where  $\sum_{v \in I} w(v)$  is maximized

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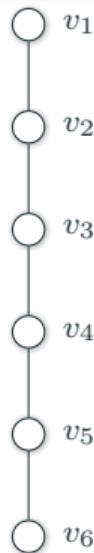
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- Order vertices  $\{v_1, \dots, v_n\} = V$  such that  $E = \{(v_i, v_{i+1}) \mid i \in \{1, 2, \dots, n-1\}\}$



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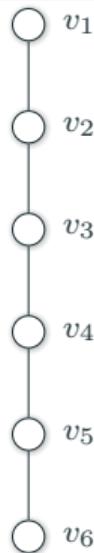
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- Dynamic table: for each  $i \in \{1, 2, \dots, n\}$ :

$D[i] = \text{maximum weight of independent set in } \{v_1, \dots, v_i\}$

- Base cases:  $D[1] = w(v_1)$ ,  $D[2] = \max\{w(v_1), w(v_2)\}$
- Recurrence for  $i \geq 3$ :  $D[i] = \max\{w(v_i) + D[i-2], D[i-1]\}$
- Proving correctness by induction is straight-forward
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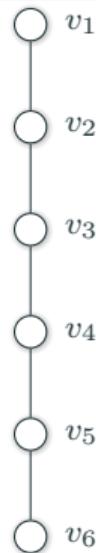
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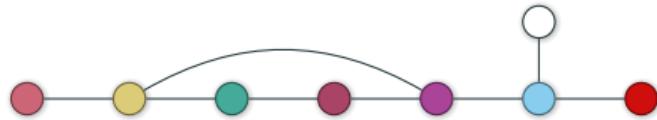
Can similar ideas transfer to more general classes of graphs? e.g. graphs that are almost paths?

## Pathwidth

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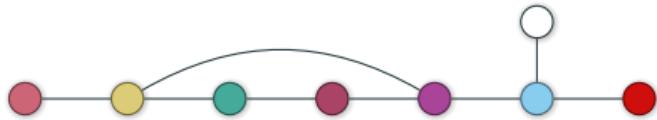
## Pathwidth and path decomposition

When is a graph **almost** a path?



# Pathwidth and path decomposition

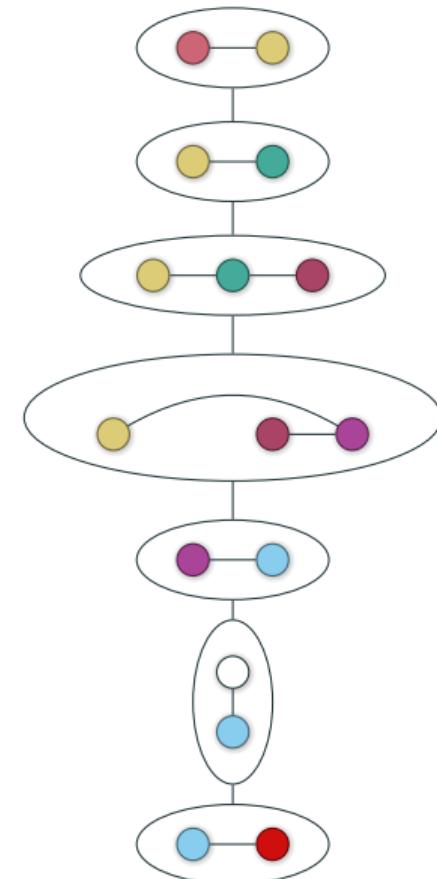
When is a graph **almost** a path?



## Path decomposition

A path decomposition of a graph  $G = (V, E)$  is a path with vertices (called bags)  $X_1, \dots, X_r$  and edges between  $(X_i, X_{i+1})$  for all  $i = 1, 2, \dots, r-1$  such that

- $X_i \subseteq V$  for all  $i$  and  $\bigcup_{i=1}^r X_i = V$
- For each  $(u, v) \in E$  there is some  $i$  with  $\{u, v\} \subseteq X_i$
- For every  $v \in V$ ,  $i < j < k$  with  $v \in X_i$  and  $v \in X_k$ , we also have  $v \in X_j$
- The **width** of the decomposition is  $\max\{|X_1|, \dots, |X_r|\} - 1$
- The **pathwidth** of the graph,  $\text{pw}(G)$  is the smallest width over any decomposition. If  $G$  is a path itself,  $\text{pw}(G) = 1$ .  $\text{pw}(G)$  is a popular parameter for algorithms for “path-like” graphs



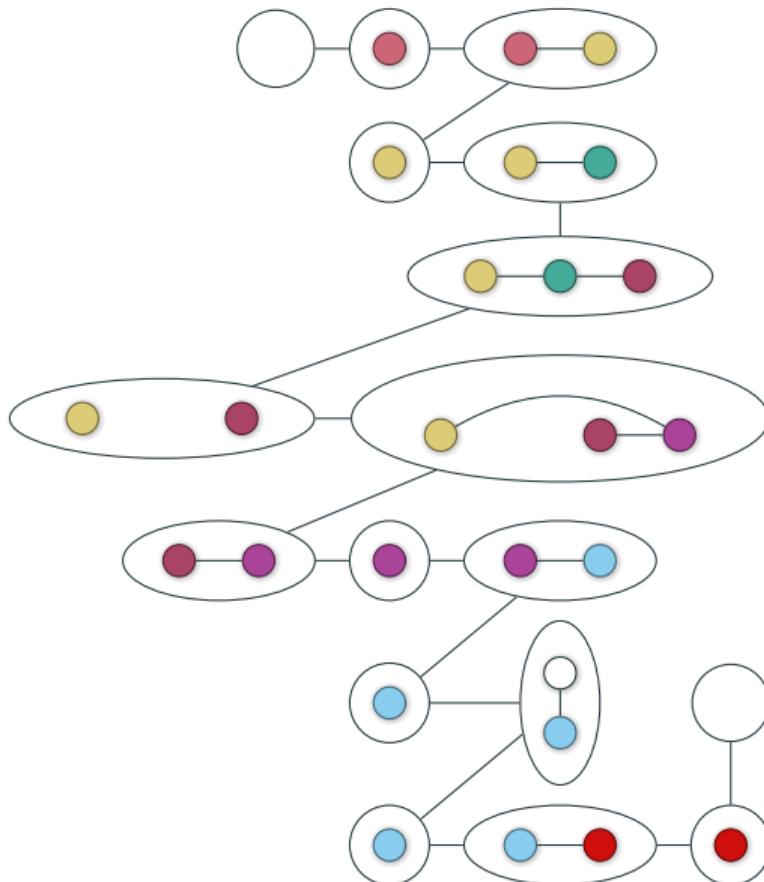
## Nice path decomposition

## Nice path decomposition

A path decomposition  $X_1, \dots, X_r$  is **nice** if  $X_1 = X_r = \emptyset$  and for every  $i = 1, 2, \dots, r - 1$  either

- $X_{i+1} = X_i \cup \{v\}$  for some  $v \in V \setminus X_i$  (**introduce bag**) or
  - $X_{i+1} = X_i \setminus \{v\}$  for some  $v \in X_i$  (**forget bag**)

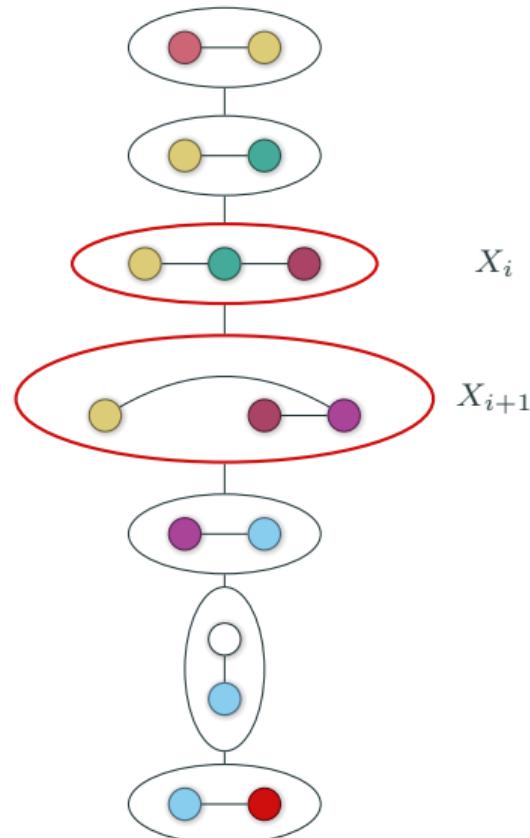
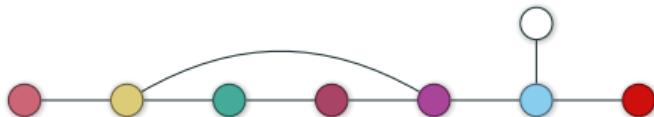
- We can in polynomial time transform a path decomposition of width  $w$  to a nice path decomposition of the same width
  - A nice path decomposition is easier to work with in dynamic programming
  - When devising FPT algorithms in  $\text{pw}(G)$  we assume that a path decomposition is given



# Separation

## Lemma

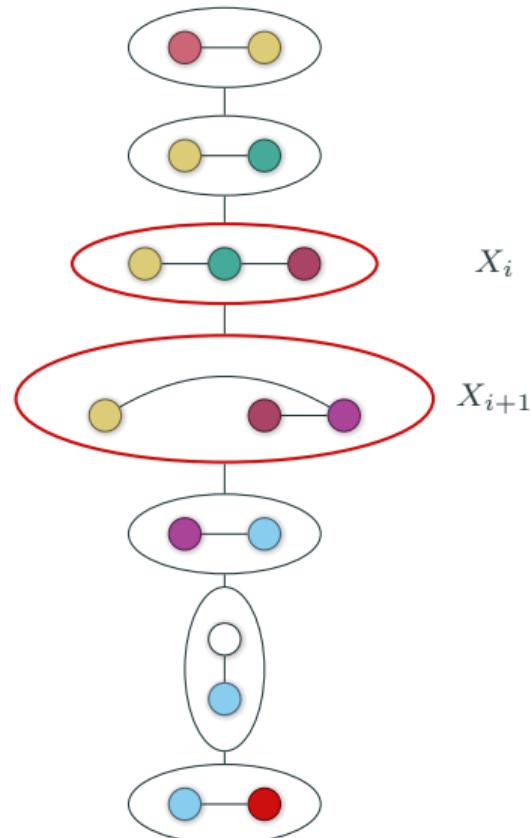
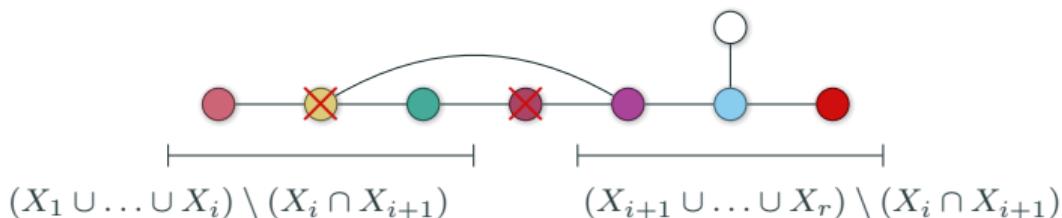
Let  $X_1, \dots, X_r$  be a path decomposition of graph  $G$ . For any bag  $X_i$ , there is no edge between  $(X_1 \cup \dots \cup X_i) \setminus (X_i \cap X_{i+1})$  and  $(X_{i+1} \cup \dots \cup X_r) \setminus (X_i \cap X_{i+1})$ . We say,  $X_i \cap X_{i+1}$  **separates**  $X_1 \cup \dots \cup X_i$  and  $X_{i+1} \cup \dots \cup X_r$ .



# Separation

## Lemma

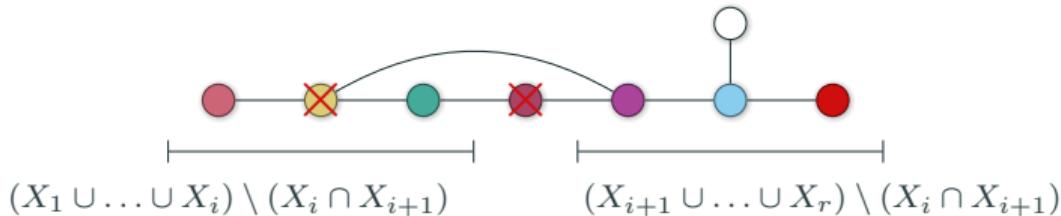
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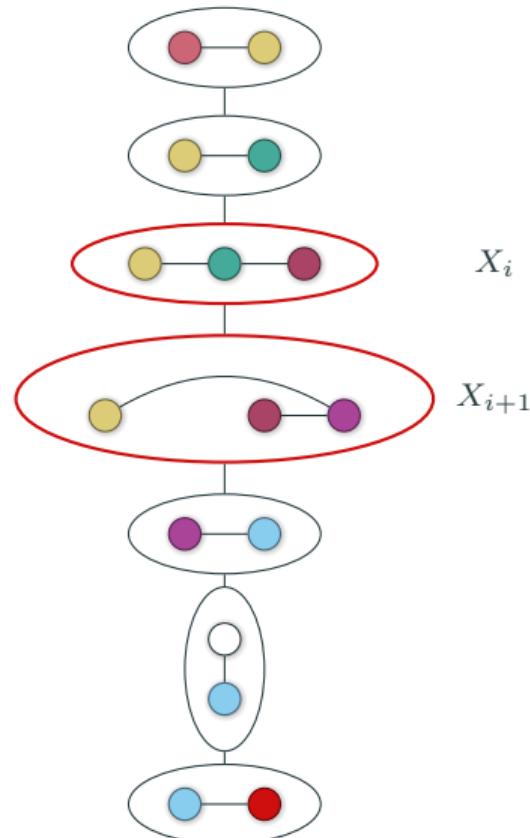
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**Proof.** Let  $u \in (X_1 \cup \dots \cup X_i) \setminus (X_i \cap X_{i+1})$  and  $v \in (X_{i+1} \cup \dots \cup X_r) \setminus (X_i \cap X_{i+1})$ .

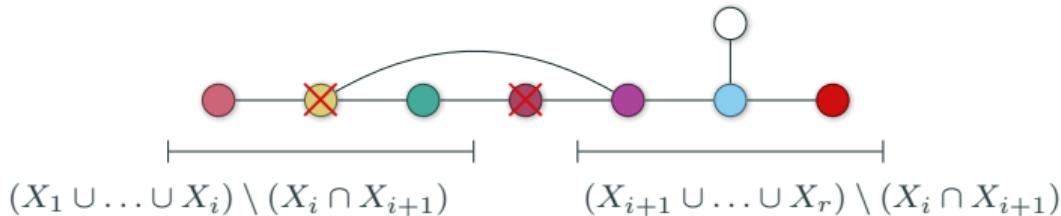
- $u \notin X_i \cap X_{i+1} \Rightarrow u \notin X_{i+1} \cup \dots \cup X_r$
  - $v \notin X_i \cap X_{i+1} \Rightarrow v \notin X_1 \cup \dots \cup X_i$
- ⇒ There is no  $X_j$  with  $u, v \in X_j \Rightarrow (u, v) \notin E$



# Separation

## Lemma

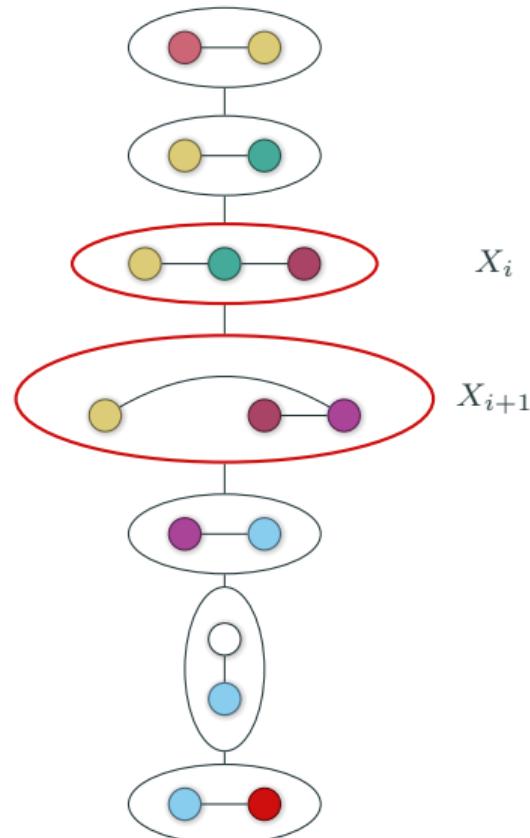
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- $u \notin X_i \cap X_{i+1} \Rightarrow u \notin X_{i+1} \cup \dots \cup X_r$
  - $v \notin X_i \cap X_{i+1} \Rightarrow v \notin X_1 \cup \dots \cup X_i$
- $\Rightarrow$  There is no  $X_j$  with  $u, v \in X_j \Rightarrow (u, v) \notin E$

By fixing the choices in  $X_i \cap X_{i+1}$  a problem usually splits into two independent subproblems



## Dynamic programming over path decomposition

---

## Maximum Weight Independent Set

Let  $X_1, \dots, X_r$  be nice path decomposition of width  $k$ . For each  $i \in \{1, \dots, r\}$  and  $S \subseteq X_i$  let

$D[i, S] = \text{max. weight of independent set } I \subseteq X_1 \cup \dots \cup X_i \text{ where } I \cap X_i = S \text{ or } -\infty \text{ if it does not exist}$

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We compute  $D[i, S]$  based on the following case distinction.

**Base case:**  $i = 1$ . Then  $X_1 \cup \dots \cup X_i = \emptyset$  and  $S = \emptyset$ . Thus,  $D[1, \emptyset] = 0$

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$$D[i, S] = \begin{cases} -\infty & \text{if } S \text{ is not independent,} \\ D[i-1, S \setminus \{v\}] + w(v) & \text{if } S \text{ independent and } v \in S, \\ D[i-1, S] & \text{otherwise.} \end{cases}$$

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Running time:  $2^k \cdot \text{poly}(n, k)$

Correctness: blackboard

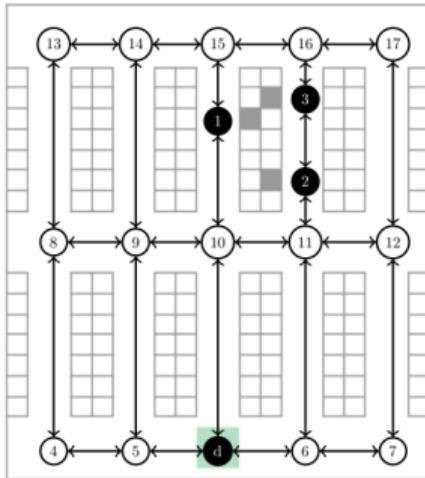
## Order Picking

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## Warehouse graph

For the Order Picking problem we consider the following class of graphs:

- There are cross aisles  $i = 1, 2, \dots, h$  that form disjoint paths  $(v_1^{(i)}, \dots, v_k^{(i)})$ . Usually  $h \leq 3$
- For each  $i = 1, 2, \dots, h-1$  and  $j = 1, 2, \dots, k$  the vertices  $v_j^{(i)}$  and  $v_j^{(i+1)}$  are connected by a path (an "aisle") where the inner vertices of the path correspond to pick-up locations and are disjoint from each other and from the cross-aisles
- There is one depot vertex  $d \in V$  at which the tour of the order picker starts and ends

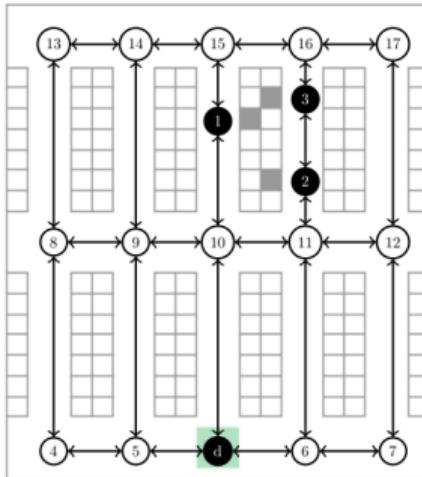


Source: <https://arxiv.org/abs/1703.00699>

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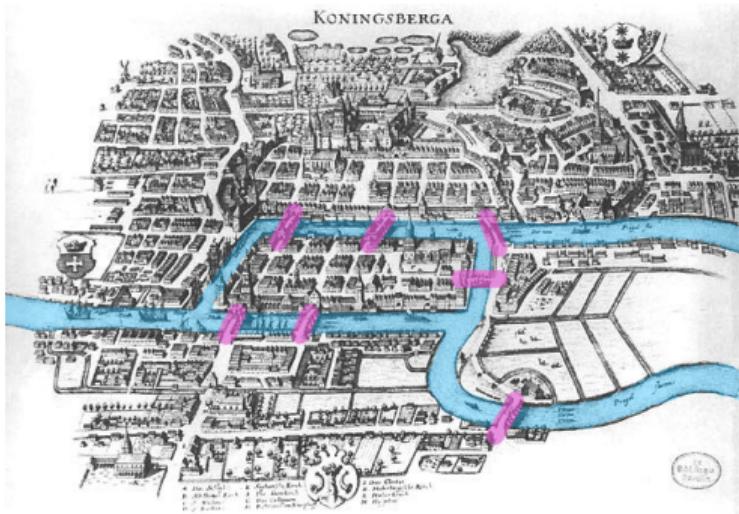
The pathwidth of a warehouse graph is at most  $h + 1$  (see blackboard)

## Order Picking problem

Given a warehouse graph  $G = (V, E)$ , edge lengths  $w : E \rightarrow \mathbb{Z}_{\geq 0}$ , depot  $d \in V$ , and pick-up locations  $P \subseteq V$ , find a tour of minimal length that visits  $P \cup \{d\}$ . The tour may cross vertices and edges several times.

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Source:

[https://commons.wikimedia.org/wiki/File:Bridges\\_of\\_Konigsberg.png](https://commons.wikimedia.org/wiki/File:Bridges_of_Konigsberg.png)

# Order Picking problem

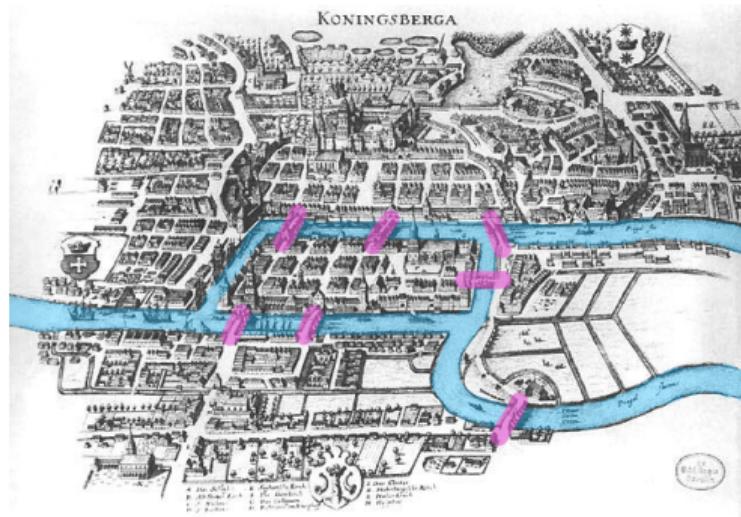
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## Equivalent formulation

A multiset of edges  $F$  corresponds to the edges crossed in some tour visiting exactly the vertices  $U$  if and only if  $\deg_F(u)$  is even and non-zero for each  $u \in U$  and the graph  $(U, F)$  is connected. See [Eulerian tour](#) for reference.

**Equivalent to Order Picking:** Find a multiset of edges  $F$  of minimal total length such that  $\deg_F(v)$  is even for each  $v \in V$ ,  $\deg_F(v) \neq 0$  for each  $v \in P \cup \{d\}$ , and  $(U, F)$  is connected, where  $U = \{v \in V \mid \deg_F(v) \neq 0\}$ .

In an optimal multiset  $F$ , each edge appears zero times, once or twice.



Source:

[https://commons.wikimedia.org/wiki/File:Bridges\\_of\\_Konigsberg.png](https://commons.wikimedia.org/wiki/File:Bridges_of_Konigsberg.png)

## Dynamic program for Order Picking

Let  $X_1, \dots, X_r$  be nice path decomposition of width  $k$ . For each  $i \in \{1, \dots, r\}$ , partition  $\mathcal{P}$  of  $X_i$  ( $\mathcal{P} = \emptyset$  if  $X_i = \emptyset$ ), and  $p \in \{\text{zero, odd, even}\}^{X_i}$  let  $D[i, \mathcal{P}, p]$  be the minimum total distance of an edge multi-set  $F$  s.t.

- For each  $v \in X_i$ ,  $\deg_F(v)$  is zero if  $p_v = \text{zero}$ , odd if  $p_v = \text{odd}$  and even and non-zero if  $p_v = \text{even}$
- For each  $v \in (X_1 \cup \dots \cup X_i) \setminus X_i$  we have  $\deg_F(v)$  is even; if  $v \in P \cup \{d\}$  then  $\deg_F(v)$  is non-zero
- For each  $S \in \mathcal{P}$  it holds that  $S$  is connected in  $(V, F)$
- If  $i < r$  then each connected component in  $(V, F)$  contains a vertex  $v \in X_i$ ; if  $i = r$  then  $(V, F)$  contains a single connected component

## Dynamic program for Order Picking

Let  $X_1, \dots, X_r$  be nice path decomposition of width  $k$ . For each  $i \in \{1, \dots, r\}$ , partition  $\mathcal{P}$  of  $X_i$  ( $\mathcal{P} = \emptyset$  if  $X_i = \emptyset$ ), and  $p \in \{\text{zero, odd, even}\}^{X_i}$  let  $D[i, \mathcal{P}, p]$  be the minimum total distance of an edge multi-set  $F$  s.t.

- For each  $v \in X_i$ ,  $\deg_F(v)$  is zero if  $p_v = \text{zero}$ , odd if  $p_v = \text{odd}$  and even and non-zero if  $p_v = \text{even}$
- For each  $v \in (X_1 \cup \dots \cup X_i) \setminus X_i$  we have  $\deg_F(v)$  is even; if  $v \in P \cup \{d\}$  then  $\deg_F(v)$  is non-zero
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**Introduce bag:**  $X_i = X_{i-1} \cup \{v\}$ . Then  $D[i, \mathcal{P}, p] = \min_{F', \mathcal{P}', p'} \{D[i-1, \mathcal{P}', p'] + \sum_{e \in F'} w(e)\}$  where the minimum is over all multisets  $F'$  of edges between  $v$  and  $X_i \setminus \{v\}$ , partitions  $\mathcal{P}'$  of  $X_{i-1}$  and  $p' \in \{\text{zero, odd, even}\}^{X_{i-1}}$  with

- $p_u = p'_u + \deg_{F'}(u)$  (with the natural operation on zero, odd, even) for each  $u \in X_{i-1}$ ,
- $p_v$  consistent with  $\deg_{F'}(v)$ ,
- let  $S'' \subseteq X_i$  be the union of  $\{v\}$  and all  $S' \in \mathcal{P}'$  with  $(w, v) \in F$  for some  $w \in S'$ . Then for each  $S \in \mathcal{P}$  either  $S \subseteq S''$  or there exists  $S' \subseteq \mathcal{P}'$  with  $S \subseteq S'$ .
- each edge  $e \in E$  occurs at most twice in  $F$

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**Forget bag:**  $X_i = X_{i-1} \setminus \{v\}$ . Then  $D[i, \mathcal{P}, p] = \min_{\mathcal{P}', p'} D[i-1, \mathcal{P}', p']$ , where the minimum is over all partitions  $\mathcal{P}'$  of  $X_{i-1}$  and  $p' \in \{\text{zero, odd, even}\}^{X_{i-1}}$  with

- for each  $S \in P$  there exists  $S' \in \mathcal{P}'$  with  $S \subseteq S'$  and,
- $p'_u = p_u$  for each  $u \in X_i$ .
- if  $v \in P \cup \{d\}$  then  $p'_v \neq \text{zero}$ , ( $v$  does not get isolated if it must be visited)
- if  $p'_v \neq \text{zero}$  and  $i < r$  then  $\{v\} \notin \mathcal{P}'$ , ( $\text{component of } v$  does not get disconnected)

## Dynamic program for Order Picking

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Running time:  $k^{O(k)} \cdot \text{poly}(n)$ , since number of partitions is always at most  $k^k$

Correctness: ommitted here (can be checked by straight-forward, but tedious calculation)

## Experimental results

An optimized version of this dynamic program has been implemented in<sup>1</sup>

**SCFS+ and SCF+:** Commercial solvers on different ILP formulations

**PDYN:** FPT algorithm based on dynamic programming

	Total	Storage policy		# aisles			# cross-aisles			# products		
		R	V	5	15	60	3	6	11	15	60	240
SCFS+	18	18	0	1	4	13	1	2	15	0	0	18
SCF+	136	88	48	19	34	83	51	41	44	0	26	110
PDYN	180	90	90	60	60	60	0	0	180	60	60	60
# instances	540	270	270	180	180	180	180	180	180	180	180	180

Table shows number of unsolved instances with different sizes after 30 minutes.

<sup>1</sup> Exact algorithms for the order picking problem. Pansart, Catusse , Cambazard. 2018.

<https://arxiv.org/abs/1703.00699>