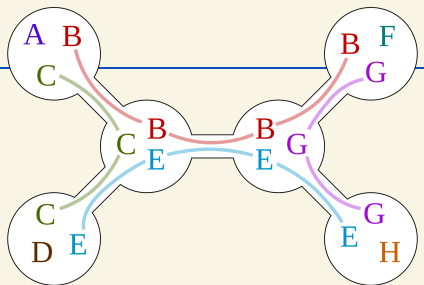


## Fine-Grained Complexity

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DM898: Parameterized Algorithms  
Lars Rohwedder

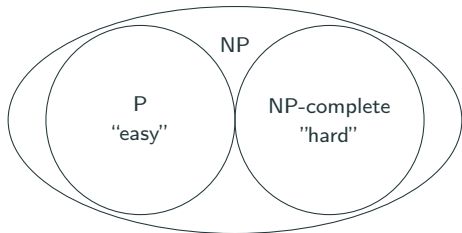


# Today's lecture

- Overview Fine-Grained Complexity
- Subquadratic hardness for Orthogonal Vectors
- Subquadratic hardness for Diameter
- Pseudopolynomial time algorithms and hardness for Subset Sum
- Subquadratic hardness for String problems

## Context

**Classical perspective:** Categorize problems into NP-complete and P



**This course (thus far):** Nuances in complexity of NP-complete problems (FPT, XP, mildly exponential algorithms) and hardness

**Now:** For polynomial time solvable problems, determine tight running time bounds necessary to solve them (linear time, quadratic time,...)

**...and connections between these theories**

## Fine-grained complexity

- Within P it seems that some problems can be solved faster than others (e.g.  $O(n)$  vs  $O(n^2)$ )
- Classical complexity theory does not give means to prove lower bounds on exponent of polynomial
- On the other hand, reductions that show that a certain running time for some problem implies some running time are straight-forward
- **Fine-grained complexity** is a young research field about establishing lower bounds for many problems using **a low number of** well justified hypotheses
- Research on lower bounds goes hand-in-hand with attempts to improve (non-tight) running times

Some popular hypotheses:

### Strong Exponential Time Hypothesis (SETH)

For every  $\epsilon > 0$  there exists  $k \in \mathbb{N}$  such that  $k$ -SAT does not have an  $O(2^{(1-\epsilon)n})$  time algorithm

### 3-SUM Hypothesis (3SUM)

The following problem (3-SUM) cannot be decided in  $O(n^{2-\delta})$  time for any  $\delta > 0$ : Given  $A, B, C \subseteq \mathbb{Z}$ ,  $|A| = |B| = |C| = n$ , are there  $a \in A, b \in B, c \in C$  with  $a + b + c = 0$ ?

### All-Pair-Shortest-Path Hypothesis (APSP)

The following problem (APSP) cannot be solved in  $O(n^{3-\delta})$  time for any  $\delta > 0$ : Given an undirected graph with non-negative edge weights find the length of the shortest path between any pair of vertices

## Consequences of the SETH

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# Orthogonal Vectors

## Orthogonal Vectors Problem

**Input:**  $A, B \subseteq \{0, 1\}^d$  with  $|A| = |B| = n$

**Output:** YES, if there are  $a \in A, b \in B$  with  $a^\top b = \sum_{i=1}^d a_i \cdot b_i = 0$ . NO, otherwise

Equivalent formulation: given universe  $U$  of size  $d$  and two families of sets  $\mathcal{X}, \mathcal{Y}$  over  $U$ , are there  $X \in \mathcal{X}, Y \in \mathcal{Y}$  with  $X \cap Y = \emptyset$ ?

The problem is easily solvable in time  $O(n^2 \cdot d)$  or  $n \cdot 2^{O(d)}$ . Is this optimal?

## Theorem

Unless the SETH is false, there is no  $n^{2-\delta} d^{O(1)}$  time algorithm for Orthogonal Vectors

Proof: Blackboard

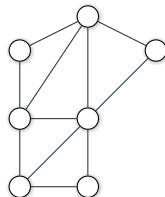
# Diameter

## Diameter Problem

“Compute the longest shortest path”

**Input:** a connected graph  $G = (V, E)$  and  $k \in \mathbb{N}$

**Output:** YES, if for every  $u, v \in V$  there is a path of length at most  $k$  between  $u$  and  $v$ ; NO, otherwise



**Quadratic time algorithm:** For each vertex compute in  $O(m)$  time the shortest path to every other vertex using BFS. Then check if any of these lengths is  $> k$ . Total running time:  $O(nm) \leq O(m^2)$

## Theorem

Unless the SETH is false, there is no  $O(m^{2-\delta})$  time algorithm for the Diameter Problem

Proof: Blackboard

# Pseudopolynomial time algorithms for Subset Sum

## Subset Sum Problem

**Input:**  $s_1, \dots, s_n \in \mathbb{N}, T \in \mathbb{N}$

**Output:** YES, if there exist  $I \subseteq \{1, \dots, n\}$  with  $\sum_{i \in I} s_i = T$ ; NO otherwise

The classical dynamic programming algorithm solves the problem in  $O(nT)$ . Is this optimal?

## Theorem (see<sup>1</sup>)

Unless the SETH is false, there is no  $n^{O(1)} \cdot T^{1-\delta}$  time algorithm for any  $\delta > 0$

We do not give a proof of the theorem in this course.

On the other hand, a randomized  $(n + T) \cdot \log^{O(1)}(n + T)$  algorithm has since been discovered<sup>2</sup>. Techniques:

- Sumset computation via fast Fourier transform (FFT): for  $A, B \subseteq \{0, 1, \dots, T\}$  we can compute in time  $O(T \log T)$  their sumset  $A \oplus B = \{a + b : a \in A, b \in B\}$
- Color-Coding

We only show the following weaker result for  $k$ -SUM (Subset Sum with the additional constraint  $|I| = k$ ): there is an algorithm that solves  $k$ -SUM in time  $f(k) \cdot (n + T) \cdot \log^{O(1)}(n + T)$  for some function  $f$ . See blackboard

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<sup>1</sup> SETH-based lower bounds for subset sum and bicriteria path. Abboud, Bringmann, Hermelin, Shabtay. 2018

<sup>2</sup> A near-linear pseudopolynomial time algorithm for subset sum. Bringmann. 2017



# Longest Common Subsequence

## Longest Common Substring Problem

**Input:** strings  $a[1]a[2]\cdots a[n]$ ,  $b[1]b[2]\cdots b[n]$  over alphabet  $\Sigma$ ,  $k \in \mathbb{N}$

**Output:** YES, if there exists  $i, j$  with  $a[i]a[i+1]\cdots a[i+k] = b[j]b[j+1]\cdots b[j+k]$ ; NO, otherwise

A naive algorithm requires  $O(n^2k)$  time. Using dynamic programming this can be improved to  $O(n^2)$ . Using suffix trees even  $O(n)$  time is possible (detail omitted).

## Longest Common Subsequence Problem

**Input:** strings  $a[1]a[2]\cdots a[n]$ ,  $b[1]b[2]\cdots b[n]$  over alphabet  $\Sigma$ ,  $k \in \mathbb{N}$

**Output:** YES, if there exists  $i_1 < i_2 < \cdots < i_k, j_1 < j_2 < \cdots < j_k$  with  $a[i_1]a[i_2]\cdots a[i_k] = b[j_1]b[j_2]\cdots b[j_k]$ ; NO, otherwise

Again, dynamic programming can be used to solve the problem in  $O(n^2)$  time. Can this be improved as well?

## Theorem (see<sup>1</sup> or<sup>2</sup>)

Unless the SETH is false, there is no  $O(n^{2-\delta})$  time algorithm for Longest Common Subsequence for any  $\delta > 0$

We do not give a proof of this theorem in this course.

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<sup>1</sup> Quadratic conditional lower bounds for string problems and dynamic time warping. Bringmann, Künnemann. 2015

<sup>2</sup> Quadratic-time hardness of LCS and other sequence similarity measures. Abboud, Backurs, Vassilevska Williams

# Regular expression pattern matching

## Pattern Matching Problem

**Input:** String  $a[1]a[2] \cdots a[n]$  over alphabet  $\Sigma$ , regular expression  $R$

**Output:** YES, if there is a substring  $a[i]a[i+1] \cdots a[j]$  that matches  $R$ ; NO, otherwise

While general regular expressions are more expressive, we introduce here only a restricted variant for which the hardness already holds. The regular expressions we consider are recursively defined as follows:

- For each  $a \in \Sigma$ ,  $(a)$  is a regular expression that matches  $b[1] \cdots b[m]$  if and only if  $m = 1$  and  $b[1] = a$
- For regular expressions  $R_1, R_2$ ,  $(R_1 | R_2)$  is a regular expression that matches  $b[1] \cdots b[m]$  if and only if  $R_1$  or  $R_2$  matches  $b[1] \cdots b[m]$
- For regular expressions  $R_1, R_2$ ,  $(R_1 R_2)$  is a regular expression that matches  $b[1] \cdots b[m]$  if and only if there is some  $1 < j < m$  such that  $R_1$  matches  $b[1] \cdots b[j]$  and  $R_2$  matches  $b[j+1] \cdots b[m]$

## Theorem

Unless the SETH is false, no algorithm solves pattern matching in time  $O((n + |R|)^{2-\delta})$  for any  $\delta > 0$

```
rohvedder@sdu-140836:~/Projects/sandbox$ ack --passthru 'e(e|o|u)(f|b|t|l|n)' faust
Habe nun, ach! Philosophie,
Juristerei und Medizin,
Und leider auch Theologie
Durchaus studiert, mit heißem Bemühn.
Da steh ich nun, ich armer Tor!
Und bin so klug als wie zuvor;
Heiße Magister, heiße Doktor gar
Und ziehe schon an die zehen Jahr
Herauf, herab und quer und krumm
Meine Schüler an der Nase herum –
Und sehe, daß wir nichts wissen können!
Das will mir schier das Herz verbrennen.
Zwar bin ich geschickter als all die Laffen,
Doktoren, Magister, Schreier und Pfaffen;
Mich plagen keine Skrupel noch Zweifel,
Fürchte mich weder vor Hölle noch Teufel –
Dafür ist mir auch alle Freud entrissen,
Bilde mir nicht ein, was Rechts zu wissen,
Bilde mir nicht ein, ich könnte was lehren,
Die Menschen zu bessern und zu bekehren.
```

Proof: blackboard